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**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

03702/0203076-US0

U.S. APPLICATION NO. 10/540907 (known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.  
PCT/JP2003/016666INTERNATIONAL FILING DATE  
25 December 2003PRIORITY DATE COMPLETED  
26 December 2002

TITLE OF INVENTION ELECTRICAL STORAGE DEVICE AND MANUFACTURING METHOD OF THE SAME

APPLICANT(S) FOR DO/EO/US Nobuo Ando et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
  3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371 (f)). The submission must include items (5), (6), (9) and (21) indicated below.
  4. ☐ The US has been elected (Article 31).
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
    - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
    - b. ☒ has been communicated by the International Bureau.
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
    - a. ☒ is attached hereto.
    - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
    - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
    - b. ☐ have been communicated by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
  9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
  10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
- Items 11 to 20 below concern document(s) or information included:**
11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98 and PTO/SB/08a with 8 documents.
  12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  13. ☒ A preliminary amendment.
  14. ☒ An Application Data Sheet under 37 CFR 1.76.
  15. ☐ A substitute specification.
  16. ☐ A power of attorney and/or change of address letter.
  17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.
  18. ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
  19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
  20. ☒ Other items or information: Affirmation of Claim for Priority & copy of PCT/IB/304; Certificate of Exress Mailing

JC20 Rec'd PCT/PTO 27 JUN 2005

PTO-1390 (Rev. 02-2005)

Approved for use through 03/31/2007. OMB 0651-0021

U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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|   |   |   |            |  |                         |
|---|---|---|------------|--|-------------------------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.53) <b>10/540907</b>   |   | INTERNATIONAL APPLICATION NO.<br><b>PCT/JP2003/016666</b>                     |            | ATTORNEY'S DOCKET NUMBER<br><b>03702/0203076-USO</b> |                         |
| The following fees have been submitted  |   |   |            |  |                         |
| 21.   | <input checked="" type="checkbox"/> Basic national fee  |   |            | \$   | 300.00                  |
| 22.   | <input checked="" type="checkbox"/> Examination fee   |   |            | \$   | 200.00                  |
| If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....   |   |   |            | \$   | 400.00                  |
| All other situations .....  |   |   |            | \$   | 900.00                  |
| 23.   | <input checked="" type="checkbox"/> Search fee  |   |            | \$   | 250.00                  |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .....   |   |   |            | \$   | 400.00                  |
| International Search Report prepared and provided to the Office .....   |   |   |            | \$   | 900.00                  |
| All other situations .....  |   |   |            | \$   | 250.00                  |
| <b>TOTAL OF 21, 22 and 23 =</b>   |   |   |            | \$   | 900.00                  |
| <input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |   |   |            | \$   | 250.00                  |
| Total Sheets  | Extra sheets  | Number of each additional 50 or fraction thereof (round up to a whole number) | RATE       |  |                         |
| 114 - 100 =   | 14 /50 =  | 1   | x \$250.00 |  |                         |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).   |   |   |            | \$   |                         |
| CLAIMS  | NUMBER FILED  | NUMBER EXTRA  | RATE       |  |                         |
| Total claims  | 18 - 20 =   | x   |            | \$   | 0.00                    |
| Independent claims  | 2 - 3 =   | x   |            | \$   | 0.00                    |
| MULTIPLE DEPENDENT CLAIM(s) (if applicable)   |   |   |            | \$   |                         |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |   |   |            | \$   | 1,150.00                |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.  |   |   |            | \$   |                         |
| <b>SUBTOTAL =</b>   |   |   |            | \$   | 1,150.00                |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).  |   |   |            | \$   |                         |
| <b>TOTAL NATIONAL FEE =</b>   |   |   |            | \$   | 1,150.00                |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property   |   |   |            | \$   | 40.00                   |
| <b>TOTAL FEES ENCLOSED =</b>  |   |   |            | \$   | 1,190.00                |
|   |   |   |            | Amount to be refunded:                               | \$                      |
|   |   |   |            | Amount to be charged:                                | \$                      |
| a.  | <input checked="" type="checkbox"/> A check in the amount of \$ <u>1,190.00</u> to cover the above fees is enclosed.  |   |            |  |                         |
| b.  | <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  |   |            |  |                         |
| c.  | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>04-0100</u> . A duplicate copy of this sheet is enclosed.              |   |            |  |                         |
| d.  | <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |            |  |                         |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the International Application to pending status.   |   |   |            |  |                         |
| SEND ALL CORRESPONDENCE TO:   |   |   |            |  |                         |
| Laura C. Brutman<br>DARBY & DARBY P.C.<br>P.O. Box 5257<br>New York, New York 10150-5257<br>(212) 527-7664<br>CUSTOMER NUMBER: 07278  |   |   |            |  |                         |
|   |   |   |            | SIGNATURE:   | <u>Laura C. Brutman</u> |
|   |   |   |            | NAME   |                         |
|   |   |   |            | REGISTRATION NUMBER                                  | <u>38,395</u>           |

Application No. (if known): Not Yet Assigned

Attorney Docket No.: 03702/0203076-US0


## Certificate of Express Mailing Under 37 CFR 1.10

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Airbill No. in an envelope addressed to:

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Signature

**Lillian Garcia**

Typed or printed name of person signing Certificate

Registration Number, if applicable

Telephone Number

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Affirmation of Claim for Priority (2pp) & copy of PCT/IB/304  
English language translation of the International application (Specification 90pps; Claims 6pps; Abstract 2pp) and Figures 1-16 (16 sheets)  
Combined declaration/power of attorney (4pp)  
Assignment document for recording (2pp) and Recordation cover sheet (1pp)  
Transmittal Letter to the United States Designated-Elected Office (2 pages)  
Information Disclosure Statement (2pp); PTO/SB/08a with 8 documents  
First Preliminary Amendment (3pp)  
Application Data Sheet (3pp)  
Check in the amount of \$1,190.00 9019  
Return receipt postcard